

APPOINTMENT OF INDEPENDENT VERIFICATION AGENCY (IVA) FOR ASSESSING DISBURSEMENT LINKED INDICATORS (DLIs) TO SUPPORT CHENNAI CITY PARTNERSHIP PROGRAM ~ SUSTAINABLE URBAN SERVICES PROGRAM FOR RESULTS

Clarification to Pre-Proposal Queries

S.No	Reference in RFP	Description in RFP	Requested Clarifications / Revision	Reply
1.	Page 33 – SECTION 3. TERMS OF REFERENCE 3.4- Deliverables 4. Undertaking the verification of DLIs.	The consultant will be responsible for undertaking verification of the DLIs in a transparent and independent manner that provides clear and robust evidence for timely decision-making for disbursements to GoTN by the World Bank. Verification of the DLIs will be submitted in the form of a Semi-Annual Verification Report to a steering committee under the PIU. All DLIs with targets to be achieved in a certain year must be verified at least once a year, and scalable DLIs must have also a semi-annual verification as well. Field verification must be completed within three weeks of the Project Monitoring Unit’s reporting on the DLI achievement and will be completed within one month of the start of the verification process. For each DLI, a proposed verification protocol is outlined in Annex 4. Any changes or refinements should be agreed with the World Bank and included as inputs to Deliverables 1 and 2 above. Verification of elements of both DLI 7 and DLI 8 will require field work by the consultant. The	Will the consultants be provided the specific targets for collecting data?	The term target here refers to target to be achieved by implementing agencies and not the by the IVA. IVA shall prepare the approach and methodology for verification of each of the DLIs and based on the requirement of verification of DLIs, the data needs to be collected by the IVA.

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		outline methodology for this field work is described in Section 3.5 below. The frequency of verification shall be Semi-Annual.		
2.	Page 36-37 SECTION 3. TERMS OF REFERENCE 3.7 Experience, Qualifications and Level of Effort of IVA Team	Including the Team Leader, the key staff shall be constituted with senior professionals in critical sectoral and thematic areas as specified in the below table. The number of experts are indicative and the Applicants are encouraged to provide the best team composition to respond to this ToR. An indicative description of minimum qualifications expected from the key staff is detailed below. Experience and qualifications of the key staff will be an integral part of the technical evaluation and each expert will be evaluated individually in addition to the team composition. The DLIs referred to for each position are indicative. The indicative level of effort for the IVA Team is shown in the table below and totals 14 person months per year.	Can the qualification and experience be relaxed or equivalent (a) for all candidates (b) for replacements	RFP Conditions shall prevail
3.	Page no. 18 SECTION 1. Instructions to Applicants Clause 1.1.9	Substitution of key personnel	We understand that each team member has a very crucial role to play in evaluation of the DLIs and considering the intermittent nature of input of each team member, we request to reduce the substitution reduction.	Refer Addendum/ Corrigendum

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			<p>It is requested to consider the following:</p> <ul style="list-style-type: none"> - Substitution shall be limited to 4 professional and subsequent substitution would incur 5% reduction in remuneration. <p>Also, for team leader, it is requested to relax the reduction in remuneration to 5%.</p>	
4.	<p>Page no. 37</p> <p>SECTION 3. TERMS OF REFERENCE</p> <p>3.7 Experience, Qualifications and Level of Effort of IVA Team</p>	<p>The indicative level of effort for the IVA team total 14 person months per year</p>	<p>The table for key team member reflects that for FM/ Budget, Procurement, Water specialist, there are two position each and required inputs would be of two month each year.</p> <p>Please confirm whether our understanding is correct. If correct than the total man months of key team members would be 20 man-months.</p>	<p>Refer Addendum/ Corrigendum</p>
5.	<p>Page 25 - SECTION 2. DATA SHEET</p> <p>Point nos. 9 & 10</p>	<p>Method of Selection: QCBS Weightage: T =0.8 and F = 0.2 $S = S_t \times 0.8 + S_f \times 0.2$</p>	<p>We request authority to consider weightage of T=0.7 and F=0.3</p>	<p>RFP Conditions shall prevail</p>

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6.	Page no. 38 SECTION 3. TERMS OF REFERENCE 3.7 Experience, Qualifications and Level of Effort of IVA Team	<table border="1"> <thead> <tr> <th>Position</th> <th>Qualification and Experience Requirement</th> </tr> </thead> <tbody> <tr> <td>Team Leader</td> <td>Post graduate degree in economics/ engineering/management/ public policy or similar field with minimum 15 years of post-qualification experience in urban infrastructure sector.</td> </tr> </tbody> </table>	Position	Qualification and Experience Requirement	Team Leader	Post graduate degree in economics/ engineering/management/ public policy or similar field with minimum 15 years of post-qualification experience in urban infrastructure sector.	We request authority to also allow urban planning in post graduate degree of team leader and consider below changes:- Post graduate degree in economics/ engineering/ management/ Urban Planning / public policy or similar field with minimum 15 years of post-qualification experience in urban infrastructure sector.	Refer Addendum/ Corrigendum
Position	Qualification and Experience Requirement							
Team Leader	Post graduate degree in economics/ engineering/management/ public policy or similar field with minimum 15 years of post-qualification experience in urban infrastructure sector.							
7.	Page no. 36 SECTION 3. TERMS OF REFERENCE Clause 3.6 and Page No. 59 FORM FIN - 1 : FINANCIAL PROPOSAL	Timeline and Payment Schedule	We request authority to consider Lumpsum Financial Proposal for 1 year and further provide escalation of 5%-10% every year upto remaining 4 years upon mutual understanding.	RFP Conditions shall prevail				
8.	Page 8 SECTION 1. Instructions to Applicants	Proposal Due Date (the “PDD”) 27 April 2022- 15:00 hrs	We kindly request you to extend the proposal due date by three weeks from response to prebid queries. Kindly consider.	Refer Addendum/ Corrigendum				

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	1.4 Schedule of Selection Process			
9.	Page 6 SECTION 1. Instructions to Applicants Point – 1.1.3 of RFP And Page 29, 3.1 Project Background (3 rd Para)	Tamil Nadu Infrastructure Development Board (TNIDB) will oversee the implementation of the CCP and will act as the overall Project Implementation Unit (PIU). with implementation to be undertaken by the Tamil Nadu Infrastructure Development Board (TNIDB), which will act as the overall Project Monitoring Unit (PMU).	Kindly confirm the role of TNIDB – PIU or PMU?	Refer Addendum/ Corrigendum
10.	Page 6 SECTION 1. Instructions to Applicants Point 1.1.5	The Independent Verification Agency (IVA) will initially be offered a Contract for a period of five (5) years, extendable for an additional period of one year or more as required.	This is a long-term contract of 5 years and above. Hence kindly consider price adjustment as per RBI CPI Index	Refer to reply at S. No 7
11.	Page 26 SECTION 2. DATA SHEET	Similar Project Experience - Design and carrying out relevant targeted assessments and studies, implementation of verification protocols for results-based financing/ Third Party Evaluation	Kindly consider “design and supervision/project management” projects as similar projects	RFP Conditions shall prevail

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	17. Minimum Eligibility Criteria	/ End line Study / End-Term Evaluation/tracking of Physical and Financial Progress of Programmes or similar approaches for projects under Central or State Government or Externally Aided Projects funded through Department of Economic affairs, Government of India by World Bank/ DFID/ JICA/ ADB, etc.		
12.	Page 35 SECTION 3. OF TERMS OF REFERENCE DLI 8: Improved access to water supply services	Ensure that appropriate(As outlined in the ESSA) environment, social managemnet plans are implemented, particularly in Physical Infrastructure	Kindly include social and Environment experts with appropriate man months to perform this task	To meet the requirement of the project, the applicant shall propose adequate number of support staff with appropriate skills and experience in addition to the key staff.
13.	Page no. 38 SECTION 3. OF TERMS OF REFERENCE 3.7 Experience, Qualifications and Level of Effort of IVA Team		Kindly consider the experience in “design and supervision/project management” for all the positions	RFP Conditions shall prevail

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14.	Page no. 38 SECTION 3. OF TERMS OF REFERENCE 3.7 Experience, Qualifications and Level of Effort of IVA Team	<table border="1"> <thead> <tr> <th>Position</th> <th>Qualification and Experience Requirement</th> </tr> </thead> <tbody> <tr> <td>Procurement Specialist</td> <td>Post graduate degree in finance/ accounting/ management/Legal or similar field.</td> </tr> </tbody> </table>	Position	Qualification and Experience Requirement	Procurement Specialist	Post graduate degree in finance/ accounting/ management/Legal or similar field.	As Engineers are involved in procurement, we presume Engineers also could be considered for this position in the category “Similar field”. Kindly confirm.	Refer Addendum/ Corrigendum
Position	Qualification and Experience Requirement							
Procurement Specialist	Post graduate degree in finance/ accounting/ management/Legal or similar field.							
15.	General		Kindly provide atleast 3 weeks for the submission from the date of issue of minutes of the prebid meeting. Kindly confirm.	Refer to reply at S. No 8				
16.	Page 24 SECTION 2. DATA SHEET S. No 6 - Bid Security	Amount – INR 1,50,000/- (Rupees One Lakh Fifty Thousand Only) through Electronic Transfer/ Demand Draft/Bank Guarantee In favor of – Chief Executive Officer, Tamil Nadu Infrastructure Development Board Payable at Chennai	Is there any exemption for Government Institutes /Statutory organizations with respect to the Bid Security amount to be deposited?	Any category of tenderers specifically exempted by the Government of Tamil Nadu from the payment of Earnest Money Deposit (EMD) will not be required to make such a deposit. Proof for exemption shall be shared in the proposal.				
17.	Page 53 SECTION 4. FORMATS FORM TECH 5 - Applicants	Row 6 - Name of Associated Consultant	Who is the associated consultant in case of no consortium?	Form Tech – 5 is to share details of past experience of the Applicant. In case if the past assignment had no consortium partner, then kindly mention Not Applicable				

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	Experience				in the relevant field.																			
18.	Page 42 – SECTION FORMATS 4. Financial Capacity of the Applicant	<table border="1"> <thead> <tr> <th>Year</th> <th>2018-19</th> <th>2019-20</th> <th>2020-21</th> <th>Average</th> </tr> </thead> <tbody> <tr> <td colspan="5" style="text-align: center;">(Rupees in Lakhs)</td> </tr> <tr> <td>Revenue from Advisory Services #</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Net worth#</td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Year	2018-19	2019-20	2020-21	Average	(Rupees in Lakhs)					Revenue from Advisory Services #					Net worth#					Is the row on Net worth applicable to institutes established by the Central Government (as there is no share capital etc.)?	For premier academic institutions established by any State Government or by the Central Government, having no share capital shall be exempted from fulfilling this requirement. Refer Addendum/Corrigendum
Year	2018-19	2019-20	2020-21	Average																				
(Rupees in Lakhs)																								
Revenue from Advisory Services #																								
Net worth#																								
19.	Page 112 SECTION DRAFT CONTRACT 5. SCC, Clause 5.7	<p>Risks and coverage</p> <p>a) Third party motor vehicle liability insurance as required under Motor Vehicles Act, 1988 in respect of motor vehicles operated in India by the Consultants or their Personnel or any Sub consultants or their Personnel for the period of consultancy.</p> <p>b) Third Party liability insurance with a minimum coverage equivalent to the Contract value for the period of consultancy.</p> <p>c) Professional Liability Insurance: Consultants</p>	What is the difference between the insurances mentioned in (b) Third Party liability insurance and (c) Professional liability insurance?		Third Party Liability insurance is to protect the Authority against any claim from Third Party due to any loss/damage caused to the third party by the Consultant. Whereas professional liability insurance protects the Authority from any losses caused due to negligence, errors, malpractice etc. in offering professional																			

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		<p>will maintain at its expense, Professional Liability Insurance including coverage for errors and omissions caused by Consultant's negligence, breach in the performance of its duties under this Contract from an Insurance Company permitted to offer such policies in India, for a period of one year (s) beyond completion of Consultancy Services commencing from the Effective Date, (i) For an amount not exceeding total payments for Professional Fees and Reimbursable Expenditures made or expected to be made to the Consultants hereunder or (ii) the proceeds, the Consultants may be entitled to receive from any insurance maintained by the Consultants to cover such a liability, whichever of (i) or (ii) is higher The indemnity limit in terms of "Any One Accident" (AOA) and "Aggregate limit on the policy period" (AOP) should not be less than the amount of contract value. In case of Consortium, the Lead Member shall ensure that the sum total of insurance of individual partners shall be as per the requirements of the contract.</p>	<p>The requirement for third party liability insurance may be waived considering the nature of the consultancy services of the IVA.</p>	<p>advice or services by the consultant.</p> <p>RFP Conditions shall prevail</p>

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20.	Page 36 SECTION 3. TERMS OF REFERENCE 3.6.4, Timeline and payment schedule	Payment relating to particular stage shall be done after approval of the respective stage report	It would be appreciated if a timeline is given for approval of the deliverable by the Authority		Ordinarily the authority will endeavor to organise a steering committee meeting within 15 days of receipt of the report. While approving, the steering committee may also consider comments from the world bank.				
21.	Page no. 38 SECTION 3. TERMS OF REFERENCE 3.7 Experience, Qualifications and Level of Effort of IVA Team	<table border="1"> <thead> <tr> <th>Position</th> <th>Qualification and Experience Requirement</th> </tr> </thead> <tbody> <tr> <td>Urban Specialist</td> <td>The specialist should have 12 years of post-qualification experience</td> </tr> </tbody> </table>	Position	Qualification and Experience Requirement	Urban Specialist	The specialist should have 12 years of post-qualification experience	We request if you could consider atleast 10 years of experience of post qualification....		RFP Conditions shall prevail
Position	Qualification and Experience Requirement								
Urban Specialist	The specialist should have 12 years of post-qualification experience								
22.	Page no. 39 SECTION 3. TERMS OF REFERENCE 3.7 Experience, Qualifications and Level of Effort of IVA Team	<table border="1"> <thead> <tr> <th>Position</th> <th>Qualification and Experience Requirement</th> </tr> </thead> <tbody> <tr> <td>Water Specialist</td> <td>Both the specialists (1&2) should have 12 years of post-qualification experience</td> </tr> </tbody> </table>	Position	Qualification and Experience Requirement	Water Specialist	Both the specialists (1&2) should have 12 years of post-qualification experience	We request if you could consider atleast 8 years of experience of post qualification for both the specialists		RFP Conditions shall prevail
Position	Qualification and Experience Requirement								
Water Specialist	Both the specialists (1&2) should have 12 years of post-qualification experience								

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23.	Page no. 40 SECTION 3. TERMS OF REFERENCE 3.7 Experience, Qualifications and Level of Effort of IVA Team	<table border="1"> <thead> <tr> <th>Position</th> <th>Qualification and Experience Requirement</th> </tr> </thead> <tbody> <tr> <td>Statistical Analyst</td> <td>Post graduate degree in statistics/ economics/ sociology or similar field with at least 10 years of post-qualification experience.</td> </tr> </tbody> </table>	Position	Qualification and Experience Requirement	Statistical Analyst	Post graduate degree in statistics/ economics/ sociology or similar field with at least 10 years of post-qualification experience.	We request if you could consider atleast 7 years of experience of post qualification....	RFP Conditions shall prevail
Position	Qualification and Experience Requirement							
Statistical Analyst	Post graduate degree in statistics/ economics/ sociology or similar field with at least 10 years of post-qualification experience.							
24.	General		We would like to bring to the notice of the Authority that M/s PwCPL has an ongoing assignment as the PMC to Chennai Smart City Limited which is expected to end by mid June, 2022. Since we know that CSCL is the Nodal Agency for CCP, we believe that there would be no conflict in bidding for this assignment, please confirm.	Selected applicant will be not allowed to undertake any assignments which are in direct conflict with its role of IVA.				
25.	General		Please confirm whether the successful bidder can pursue future opportunities with line departments of GoTN for assignments related to DLIs, if any.	Any engagement by the IVA in assisting the implementing departments of GoTN in implementation of DLIs shall be considered as conflict of interest.				
26.	Page 18 Section 1.	“The Authority may impose, at its discretion, reduction of up to 20% of the applicable remuneration of the Team Leader for the	Kindly note that an assignment with over 5-years of duration is generally recognized as a long-term	Refer to reply at S. No 3				

S.No	Reference in RFP	Description in RFP	Requested Revision / Clarifications	Reply
	<p>Instructions to Applicants,</p> <p>Clause 1.19- Substitution of Key Personnel,</p>	<p>remaining period till submission & acceptance of verification report of Year 2.”</p> <p>“Any subsequent substitution beyond the applicable limit allowed for key personnel or overall limit, as the case may be, would call for additional reduction of remuneration by 10% of the remuneration of the personnel so replaced for the remaining period.”</p>	<p>assignment in a consulting organization. Considering, the volatile market with emerging opportunities, there could be a situation where the team might need replacement.</p> <p>Hence, we request the client to consider imposing any penalty on remuneration ONLY in case if the deployment of substitute key personnel is not effectively done within a month.</p>	
27.	<p>Page 26</p> <p>Section 2. Data Sheet, Clause 17- Minimum Eligibility Criteria- Similar Project Experience</p>	<p>“Similar Project Experience- Design and carrying out relevant targeted assessments and studies, implementation of verification protocols for results-based financing/ Third Party Evaluation / End line Study / End- Term Evaluation/tracking of Physical and Financial Progress of Programmes or similar approaches for projects under Central or State Government or Externally Aided Projects funded through Department of Economic affairs, Government of India by World Bank/ DFID/ JICA/ ADB, etc.”</p>	<p>We understand that projects involving results-based financing/ Third Party Evaluation / End line Study / End- Term Evaluation/ tracking of Physical and Financial Progress of Programmes or similar approaches for projects, would absolutely capture the scope of this assignment.</p> <p>Besides, we request the client to note that some large-scale project management/ monitoring projects (under Central or State Government or Externally Aided Projects funded through Department of Economic affairs, Government of India by</p>	RFP Conditions shall prevail

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			<p>World Bank/ DFID/ JICA/ ADB, etc.) in urban infrastructure sector, where the agency has done physical and financial progress monitoring of urban schemes, would also align with the scope of this assignment.</p> <p>Moreover, experience in such large-scale projects would bring in a comprehensive outlook of urban infrastructure sector, which would be required to implement this assignment. Thus, we request you to consider such projects as “Eligible” under “Similar Project Experience”.</p>	
28.	Page 36 Section 3. Terms of Reference Clause 3.6.5	“No mobilization advance will be given to the Consultant.”	We request the client to re-consider this clause and propose 10% mobilization advance and split the rest 90% percentage payment in the subsequent deliverables.	RFP Conditions shall prevail
29.	Page 40 Section 3. Terms of Reference Clause 3.7 Experience, and Qualifications	“In addition to the key staff, the Consultant shall propose adequate number of support staff with appropriate skills and experience.”	We understand that CVs of support staff/ Non key staff shall not be evaluated at this stage. Kindly confirm.	CV of only Key personnel as per Form Tech 9 are to be mentioned. Additionally, applicants are required to submit details of the team as per Form Tech 6 and Form Tech 8.

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	Level of Effort of IVA Team, Notes below the Table			
30.	Page 24 SECTION 2. DATA SHEET S. No 6 - Bid Security	Amount – INR 1,50,000/- (Rupees One Lakh Fifty Thousand Only) through Electronic Transfer/ Demand Draft/Bank Guarantee In favor of – Chief Executive Officer, Tamil Nadu Infrastructure Development Board Payable at Chennai	CTTRAN Consulting is registered with NSIC (National Small Industries Corporation) under Single Point Registration Scheme as a Micro Enterprise (MSME). NSIC registered firms are exempted from submission of EMD under "Public Procurement Policy for Micro & Small Enterprises (MSEs) Order, 2012". Under NSIC, CTRAN Consulting is exempted for EMD submission for 10 consulting project (Assignment) with value of Rs. 400 Lakhs per annum. In light of above, we request you to be kind enough to exempt us from EMD submission on the basis of NSIC registration. Else, we will not be able to participate in this competitive bidding process involving deposition of Rs. 1.5 Lakhs EMD. We will submit NSIC registration certificate to support EMD exemption.	Refer Reply at S. No 16

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			Needless to mention here, CTRAN has vast experience and in-house technical expertise in carrying out similar kind of assignment at national and state level. The firm has formulated many plan/ policies and evaluated impact of different scheme/programme implemented at national/ state level.	

**Chief Executive Officer
TNIDB**

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Clarification to Additional Pre-Proposal Queries

S.N	Reference in RFP	Description in RFP	Requested Revision / Clarifications	Reply
1.	Page 26-17. Minimum Eligibility Criteria	Applicant should have completed at least 2 similar* projects in India in last 10 years or 2 similar ongoing projects in India in last 10 years along with necessary proof. Ongoing projects shall be considered as experience subject to receipt of 80% of the project fee by the applicant or completion of 80% of work assigned. In this regard, Applicant shall enclose a certificate from the client/minutes of meeting issued by client as proof for completion of 80% of the work assigned to the Applicant or certificate from its Statutory Auditor certifying the percentage of fee received for such assignment.	<p>We understand that mostly IVA projects duration is for 4 to 5 years for completion. We presume that this is the new concept and most of the firms will not meet the 80% completion criteria.</p> <p>So, we request you to kindly dilute the condition for Similar project experience (IVA ASSIGNMENTS for 80% completion criteria) for fair procurement competition.</p> <p>Please consider only ongoing IVA assignments for the evaluation. Kindly accept our request and acknowledge the mail</p>	RFP Conditions shall prevail

S.N	Reference in RFP	Description in RFP	Requested Revision / Clarifications	Reply
2.	Clarification to Pre-Proposal Queries, S.No.1, on Page 1, Reply by TNIDB:	<p>“The term target here refers to target to be achieved by implementing agencies and not the by the IVA. IVA shall prepare the approach and methodology for verification of each of the DLIs and based on the requirement of verification of DLIs, the data needs to be collected by the IVA.”</p> <p>Further, As per the TOR Page 33</p> <p>DLI 7: IVA has to do Annual physical verification will be through random spot checks of 300-500 high-rise or commercial properties with connections for DLI 7.3 and 600-800 domestic households with connections for DLI 7.5to confirm whether these connections are metered, and the meters are operational. The consultant should also check and that the meters are being read regularly and reported in respective (official) metering report. Sample sizes may be adjusted in consultation and agreement with GoTN and the Bank.</p> <p>DLI 8: The consultant will apply stratified random sampling to conduct annual spot checks of 600-800 households in the added areas to verify the accuracy of the data reported. Sample sizes may be adjusted in consultation and agreement with the GoTN and the Bank.</p>	<p>We understand that the IVA consultant will conduct field verification after Project Monitoring Unit’s reporting(target/numbers) on the DLI achievement.</p> <p>In the TOR, page 33 there is a range of HH survey mentioned in the DLI 7 and DLI 8.</p> <p>We request to provide explicit quantity rather than range to arrive at financial proposal. In case of any change in the survey unit, pro rata expenses per unit can be added in the bidder out of pocket expenses</p>	Refer Addendum/Corrigendum II

**Chief Executive Officer
TNIDB**